

Supra-generic radiolarian transfer function based late Miocene paleomonsoonal changes in the northeastern tropical Indian Ocean

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Abstract

A Q-mode factor analysis of the % data of 25 broadly defined supra-generic radiolarian groups in 42 deep-sea surface sediments produced four statistically significant and geographically distinct faunal assemblages related to (i) southwest cooler ($< 27^{\circ}\text{C}$), hypersaline ($> 34.5\text{ ppt}$) and highly productive ($> 0.3 \text{ mgC/m}^3/\text{d}$); (ii) northeastern warmer ($> 27^{\circ}\text{C}$), hyposaline ($< 34.5\text{ ppt}$) and poor productive ($< 0.2 \text{ mgC/m}^3/\text{d}$); (iii) transitional and (iv) southern water masses during southwest monsoon in the central tropical Indian Ocean. The southwest hypersaline and northeast hyposaline faunal assemblages are separated by a transitional assemblage that divides the central Indian Ocean basin diagonally along the 34.5ppt isohaline south of Sri Lanka. The southern factor dominates the area influenced by the 10-12°S hydrographic front. Factor value of these assemblages were regressed onto modern sea surface temperature (SST), salinity and potential primary productivity (PPP) of surface waters overlying each sample location. Resultant transfer functions have multiple correlation coefficients (r) at 0.001 level of significance (99.9%) for the salinity, PPP and SST for the southwest monsoon only. Descending trend in the correlation coefficients for the salinity-July-August ($r=0.834$), PPP-SIVM ($r=0.785$) SST-spring (May-July) ($r=0.695$) suggests order of the faunal sensitivity in the area and their respective reliabilities in the paleoestimates. The transfer functions produced comparable estimates of the observed value for the majority within the limits of standard errors of estimated salinity, SST and PPP changes using % data of the late Miocene radiolarian sequence from the Sawai Bay Formation, Neill Island, Andamans. Estimated SST and salinity during southwest monsoon are not only conformable with the qualitative paleocenography in terms of warmer periods (W) of W-9 and W-11, but also have resolved W-8 and W-10 in late Miocene Neill Island radiolarian data-set. Salinity minima in warmer and maxima in colder periods reflect the influx of fresh water from the Ganges-Brahmaputra rivers into the Bay of Bengal during southwest monsoon. The lowering trend in spring-salinity from 9.0-5.0 million years suggest the gradual strengthening of the monsoon system. The results substantiate the hypothesis that monsoonal conditions prevailed during the late Miocene.

Introduction

Recent studies suggest that the Asian monsoon originated with the attainment of half of the present altitude of Himalayan-Tibetan plateau during the late Miocene, which caused a natural barrier that changed non monsoonal to monsoonal atmospheric circulation in the northern Indian Ocean (Ruddiman and Kutzbach, 1991; Prell et al., 1992). Although, the monsoon is an atmos-

pheric phenomenon, it has a pronounced effect on the Indian Ocean surface waters. The Ganges-Brahmaputra rivers discharge enormous fresh water ($90 \times 10^3 \text{ m}^3/\text{s}$) during July-August, which is the peak period of the southwest monsoon (Carroll et al., 1993), into the Bay of Bengal resulting in the lowering of the surface salinity of the northeastern Indian Ocean (Wyrtki, 1971; Levitus, 1982). Further west towards the Arabian Sea, however, the surface water remains

comparatively hypersaline ($> 34.5 \text{ ppt}$) because of the regional positive evaporation-precipitation budget (Clemens *et al.*, 1991) and the absence of riverine fresh-water influx. Because the majority of radiolaria inhabit the top 100 m of oceanic water (Dworetzky and Morley, 1987), they register salinity changes in surface waters. Therefore, radiolaria preserved in sediments may retain a paleomonsoonal record that can be estimated by using transfer function technique since late Miocene.

Radiolarian transfer functions have been widely used to estimate late Quaternary oceanographic changes in the Pacific (Moore *et al.*, 1980), Atlantic and southern ($> 20^\circ \text{S}$) Indian Ocean (Morley, 1979, 1989). Because of inadequacy in % data from surface sediments (Lombari and Boden, 1985) there are no radiolarian transfer functions in the northern (north of 20°S) Indian Ocean. Moreover, previous studies are based on extant species/groups with the assumption that fauna have not undergone significant evolutionary change over the last one million years (Ma). Although, the census date of extant species has the advantage during the late Quaternary, it has the disadvantage that relationships between species variations and environment cannot be applied beyond the stratigraphic and geographic limits of strictly defined taxa (Mullineaux and Westberg-Smith, 1986). It constrained the use of earlier transfer functions in the late Miocene samples, but the same can be worked out by the supra-generic approach. How the sea surface temperature (SST) and salinity have changed from late Quaternary to late Miocene is not known because of the absence of supra-generic transfer function in the northern

Indian Ocean. However, such studies have already been carried out in the Pacific Ocean (Moore and Lombari, 1981; Romine, 1985; Hayes *et al.*, 1989). Our objective was to derive such supra-generic transfer functions and to produce reliable numerical values of the oceanic changes. Resultant paleoestimates would be more accurate than a qualitative approach in terms of warmer-humid and colder-arid climatic scenario in the late Miocene.

Oceanographic Setting

The tropical Indian Ocean exhibits seasonal changes in surface water during the northeast and southwest monsoons (Wyrtki, 1971). SST and salinity exhibit strong seasonal changes in the tropical Indian Ocean. SST variation in December (SST-Dec.) is low (3°C , $26-29^\circ\text{C}$) compared to high (6°C , $23-29^\circ\text{C}$) in August (SST-Aug.) in the area north of 20°S (Fig. 1a-b). Between 10°N to 4°S , SST vary from $29-28^\circ\text{C}$, but south of 4°S to 20°S , SST gradient is higher ($28-23^\circ\text{C}$) with isotherms subparallel to the latitudes during August (Fig. 1b). Between 4°S to 20°S surface water is $1-4^\circ\text{C}$ cooler compared to the northern part, north of 4°S , during August. Because of enormous monsoonal fresh water influx from the Ganges-Brahmaputra rivers ($90 \times 10^3 \text{ m}^3/\text{S}$) during July-August, the peak period of SW monsoon (Carroll *et al.*, 1993), the surface water is hyposaline ($< 34.5 \text{ ppt}$) toward the Bay of Bengal; whereas it remains hypersaline ($> 34.5 \text{ ppt}$) due to positive evaporation value in the Arabian-Sea side (Wyrtki, 1971). Salinity varies from $32-34.5 \text{ ppt}$ and $34.5-35.5 \text{ ppt}$ in the east and west respectively during NE monsoon (Fig. 1c). Salinity contrast that

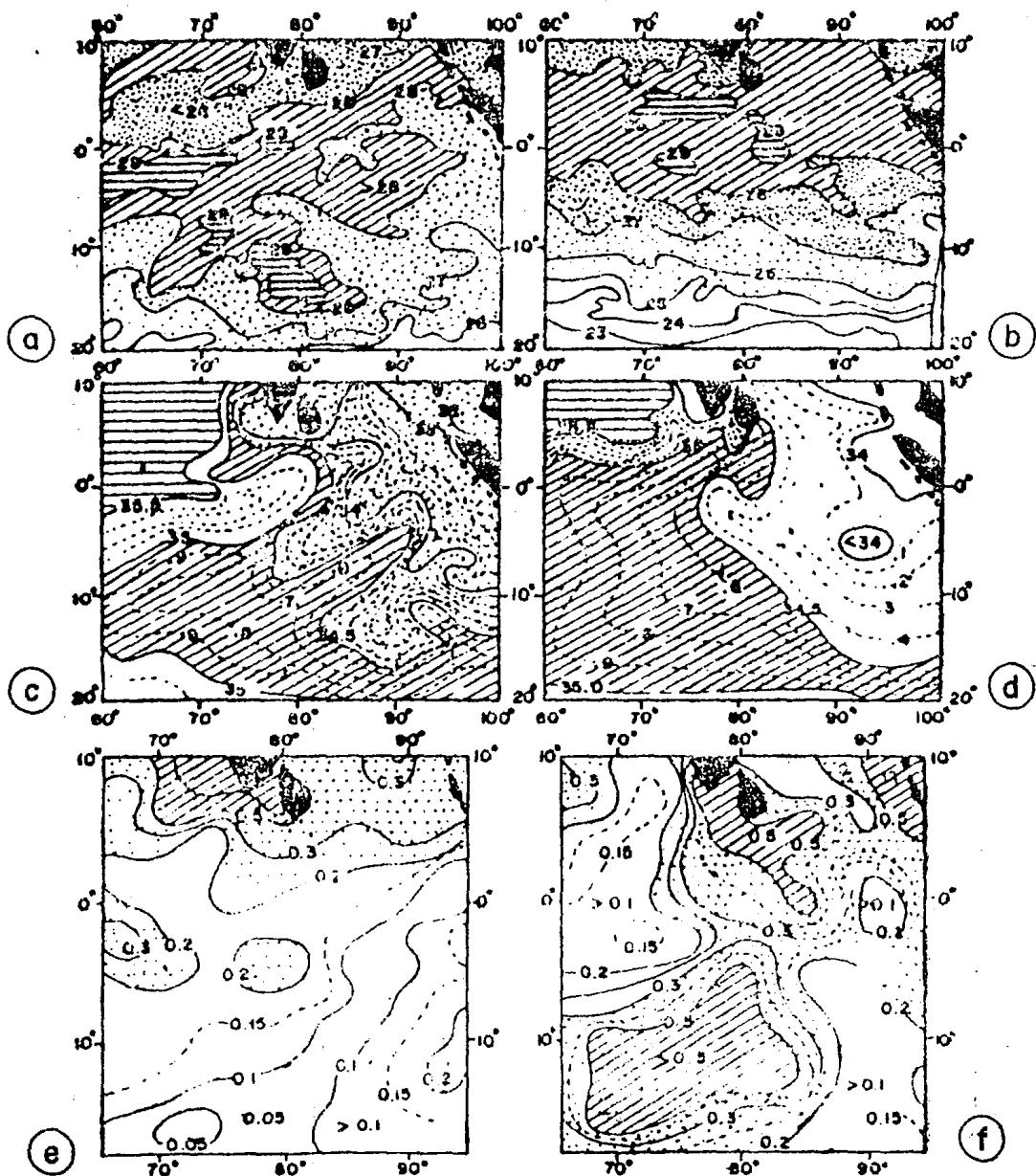


Fig. 1. Seasonal surface-water oceanographic parameters used to relate the factors in present study. (a) SST-Dec., (b) SST-Aug., (c) salinity-Nov.-Dec., (d) salinity-July-Aug. [after Wyrtki, 1971]; and potential primary productivity ($\text{mgC}/\text{m}^3/\text{d}$) in NW monsoon (e) SW monsoon (f) [after Krey and Babenrodt, 1976] in the central tropical Indian Ocean.

divides the basin obliquely along the 34.5°E isoline south of Sri Lanka into southwestern and northeastern parts during July-August (Fig. 1d) is more pronounced. A marked hydrographic front at 10-12°S, characterized by lower salinity, low primary productivity and nutrient content, extends across the equatorial Indian Ocean from Sumatra to Somalia (Wyrtki, 1973). Similarly, surface water potential primary productivity (PPP) is higher during southwest monsoon than in the northeast monsoon (Figs. 1e-f).

Material and Methods

Selection of Surface Sediment Samples

The surface sediments (top of the sea-floor) were selected following the criteria of Imbrie and Kipp (1971). Sediments collected by small grab samples especially designed to collect top-layer of ~ 10 cm (personal commun., A.V. Sonawane) were found suitable as semiliquid top layer (2-3 cm) indicator of sediment-water interface of the Holocene age was invariably present. Out of 57, clayey surface sediments (Fig. 2, Table I) were selected from the central Indian Basin for the analysis after discarding the dubious Holocene samples with fossil radiolaria or the anomalous fauna from the neighbouring samples. Based upon the average sedimentation rates of ~ 1 cm/kilo-year (Ka) reported by Johnson *et al.*, (1989) for the top most sections of the core VM-34-53 at Lat. 10°29'S, Long. 78°03'E (0-236 cm = 210 Ka) and RC-14-22 at Lat. 11°28'S, Long. 75°09'E (0-100 cm = 120 Ka), our samples are representative of Holocene material. We followed Moore's (1973a) method to quantify our radiolarian data. Late Miocene radiolarian data on coarser taxonomy of Gupta and Srinivasan (1992)

was used for the paleoestimates.

Supra-Generic Radiolarian Categories

To study the oceanographic changes since late Miocene, it was necessary to construct a data-base of modern radiolaria using more general characteristics that have not changed with time by discounting minor changes in the morphology of a species or genera. It needed a scheme that accounted for the characters in modern species groups and fossil counter parts since late Miocene for the northern Indian Ocean, which is well defined and illustrated in Gupta (1991) and Gupta and Srinivasan (1992). Such broadly-defined coarser taxonomic Groups have already been used for qualitative paleoenvironmental changes (Riedel *et al.*, 1985; Mellancaux and Westberg-Smith, 1986; Gupta and Srinivasan, 1992). Recent studies show that the closer morphology of radiolarian generic-pairs develop lesser niches in their seasonal fluxes in sediment traps (Takahashi, 1987), and the subfamily, family and suborder level census data yield nearly similar results to the one based on species (Boltovskoy, 1987). These studies suggested that the distribution of high level (broadly-defined) radiolarian categories (groups) are meaningful in ecological terms and provided impetus to the logics behind the coarser taxonomy based supra-generic radiolarian transfer in present study.

Although, 250 radiolarian species were present in surface samples, the census data was generated on broadly-defined taxonomic groups (here after, groups) that are defined and illustrated in Gupta (1991) and Gupta and Srinivasan (1992). Identification of species, construction of groups in modern sediments from the central Indian Ocean basin and late Miocene Neill Island, and the

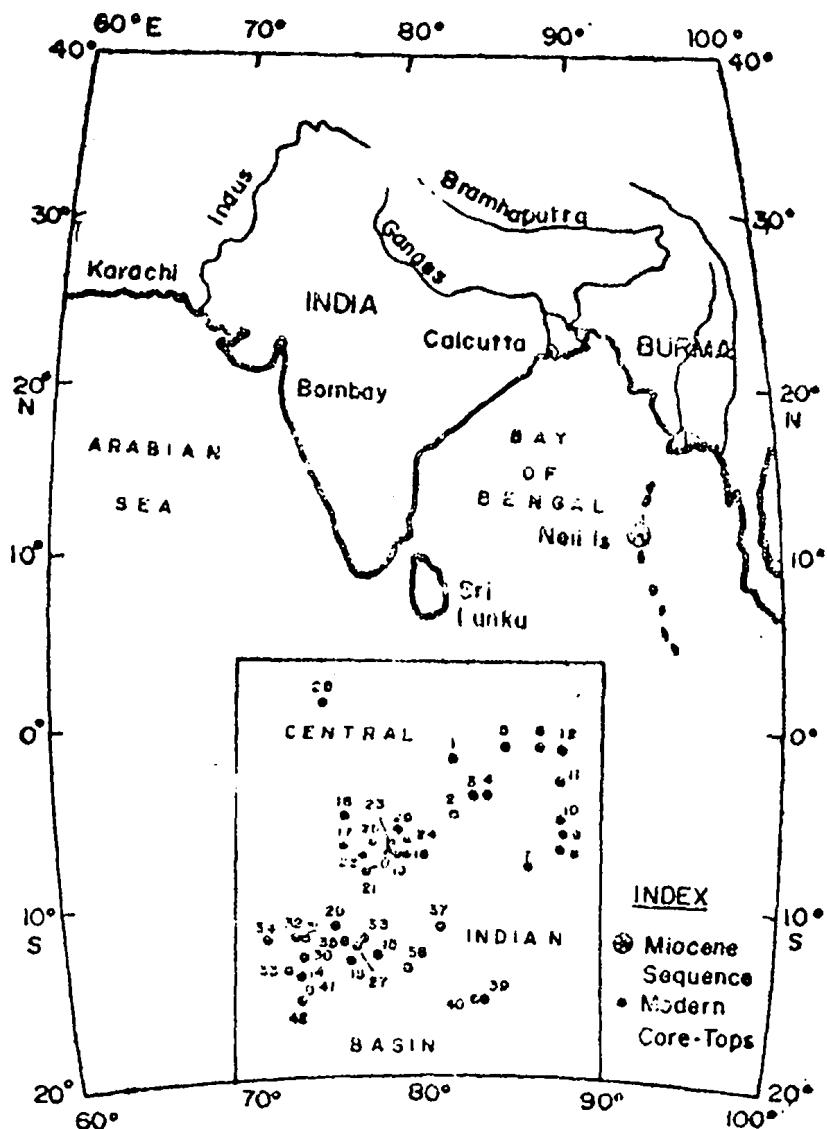


Fig. 2. Locations of the surface sediments in the central Indian Basin and the late Miocene samples locality in the northeastern Indian Ocean. Litho and biostratigraphic details of the late Miocene sequence of Sawal Bay Formation may be referred in Gupta and Srinivasan (1992).

counting was performed. We discriminately analyzed and selected analogous radiolarian groups that were common in modern surface sediments and in the late Miocene data sets. As the deep and intermediate dweller radiolarian groups (Gupta and Srinivasan, 1992,

Plectopyramidins, *Botryostrobus*, Sethoperinids, and *Siphocampe*) do not represent surface water characteristics, they were omitted in the present analysis. Out of 47 groups, only 25 could qualify >2%, surface to near surface and modern analogue criteria

Table 1 . Latitudes, Longitudes, water depths, sampling devices and factor loading matrix for 42 modern surface samples in the Central Indian Basin.

| S.N. no. | Sample | | Depth (m) | Sampler used | Concen- tration | Southwest | | Northeast | | Transiti- onal (F3) | | Southern | |
|---------------------|----------|-----------|--------------|-----------------|--------------------|-----------|---------|-----------|--------|------------------------|--|----------|--|
| | Latitude | Longitude | | | | (F1) | (F2) | (F3) | (F4) | | | | |
| 1. RVG 2483 | 02.050 S | 82.070 E | 4590 | PG | .86144 | -.47741 | -.65004 | -.44052 | .19093 | | | | |
| 2. RVG 2483 | 05.000 S | 82.070 E | 4930 | PG | .87639 | -.38517 | -.76033 | -.40199 | .25349 | | | | |
| 3. RVG 2494 | 06.000 S | 83.190 E | 3750 | PG | .86572 | -.24726 | -.52007 | -.63079 | .33367 | | | | |
| 4. RVG 2501 | 04.000 S | 84.020 E | 4800 | PG | .89063 | -.29106 | -.82378 | -.16679 | .31539 | | | | |
| 5. RVG 2513 | 01.080 S | 85.000 E | 4600 | PG | .91145 | -.32587 | -.78788 | -.27978 | .32595 | | | | |
| 6. RVG 2520 | 01.125 S | 87.020 E | 4600 | PG | .87957 | -.22177 | -.78658 | -.41872 | .19065 | | | | |
| 7. RVG 2528 | 08.070 S | 86.109 E | 5200 | PG | .89504 | -.26691 | -.27413 | -.82478 | .26151 | | | | |
| 8. RVG 2531 | 07.012 S | 88.155 E | 5080 | PG | .86860 | -.26158 | -.53161 | -.70482 | .14417 | | | | |
| 9. RVG 2532 | 06.001 S | 88.090 E | 5150 | PG | .87537 | -.32955 | -.36418 | -.39331 | .69241 | | | | |
| 10. RVG 2533 | 05.075 S | 88.180 E | 5030 | PG | .83807 | -.34545 | -.60744 | -.43951 | .39571 | | | | |
| 11. RVG 2535 | 03.062 S | 88.065 E | 4820 | PG | .91742 | -.36536 | -.33708 | -.69327 | .41794 | | | | |
| 12. RVG 2737 | 01.059 S | 88.095 E | 4620 | PG | .91334 | -.27079 | -.73511 | -.44973 | .25123 | | | | |
| 13. F 47 | 13.800 S | 73.999 E | 5150 | PG | .95663 | -.72173 | -.33927 | -.47777 | .26939 | | | | |
| 14. F 56 | 14.002 S | 73.001 E | 4389 | PG | .68760 | -.61231 | -.31733 | -.26013 | .38014 | | | | |
| 15. F 81 | 12.490 S | 78.011 E | 4977 | Sp-c | .88063 | -.66523 | -.23442 | -.57234 | .23573 | | | | |
| 16. F 99 | 05.000 S | 75.592 E | 4492 | PG | .79227 | -.43174 | -.67206 | -.20912 | .33240 | | | | |
| 17. F 101 | 07.027 S | 76.032 E | 5290 | PG | .85812 | -.74119 | -.35012 | -.70373 | .37970 | | | | |
| 18. F 150 | 07.164 S | 71.954 E | 4710 | PG | .92140 | -.41101 | -.41101 | -.41411 | .44510 | | | | |
| 19. F 151 | 07.469 S | 73.014 E | 4750 | PG | .90205 | -.53312 | -.52796 | -.48894 | .31722 | | | | |
| 20. F 152 | 07.210 S | 77.950 E | NA | PG | .94383 | -.52015 | -.42385 | -.67137 | .18589 | | | | |
| 21. F 153 | 08.024 S | 76.967 E | 5000 | PG | .92272 | -.77859 | -.20063 | -.51487 | .10794 | | | | |
| 22. F 154 | 07.167 S | 76.989 E | NA | PG | .92556 | -.30878 | -.60571 | -.20632 | .37791 | | | | |
| 23. F 155 | 07.004 S | 78.495 E | NA | PG | .88516 | -.61375 | -.37346 | -.55338 | .25053 | | | | |
| 24. F 156 | 07.057 S | 79.500 E | NA | PG | .87597 | -.61105 | -.26188 | -.53825 | .36754 | | | | |
| 25. F 157 | 06.463 S | 77.669 E | NA | PG | .91415 | -.59119 | -.50440 | -.45087 | .32699 | | | | |
| 26. F 158 | 06.505 S | 78.945 E | NA | PG | .86081 | -.48150 | -.59523 | -.41634 | .21100 | | | | |
| 27. F 199 | 12.337 S | 76.377 E | 5400 | BGt | .90312 | -.53190 | -.21855 | -.66120 | .36753 | | | | |
| 28. F 379 | 01.089 N | 74.670 E | 2475 | PG | .80524 | -.45336 | -.38853 | -.47923 | .46803 | | | | |
| 29. SS 101 | 11.010 S | 74.985 E | 5022 | BGt | .93133 | -.69467 | -.36103 | -.48079 | .29687 | | | | |
| 30. SS 120 | 13.006 S | 72.984 E | 4300 | BGt | .95222 | -.69579 | -.32206 | -.50242 | .33458 | | | | |
| 31. SS 121 | 12.037 S | 73.073 E | 4430 | PG | .91740 | -.69414 | -.51251 | -.37659 | .17630 | | | | |
| 32. SS 124 | 12.017 S | 73.000 E | 4390 | PG | .92241 | -.48775 | -.38600 | -.59912 | .42020 | | | | |
| 33. SS 126 | 14.019 S | 72.016 E | 4310 | BGt | .92419 | -.42492 | -.55081 | -.21443 | .62790 | | | | |
| 34. SS 127 | 11.999 S | 70.990 E | 4750 | PG | .92951 | -.59395 | -.50013 | -.42112 | .38635 | | | | |
| 35. SS 128 | 11.969 S | 75.465 E | 5007 | BGt | .94471 | -.60484 | -.33927 | -.60922 | .30435 | | | | |
| 36. SS 129 | 12.006 S | 76.452 E | 5100 | PG | .91840 | -.75998 | -.37402 | -.23737 | .38025 | | | | |
| 37. SS 139 | 11.514 S | 81.490 E | 5085 | PG | .94777 | -.70351 | -.39004 | -.33471 | .43436 | | | | |
| 38. SS 183 | 13.510 S | 78.979 E | 5388 | BGt | .91224 | -.47417 | -.37737 | -.34235 | .65406 | | | | |
| 39. SS 206 | 15.090 S | 83.560 E | NA | PG | .94385 | -.54120 | -.34848 | -.54670 | .48025 | | | | |
| 40. SS 210 | 15.487 S | 82.950 E | 5040 | PG | .75740 | -.29804 | -.30430 | -.23992 | .72001 | | | | |
| 41. SS 231 | 14.890 S | 73.530 E | 4910 | PG | .91914 | -.51104 | -.36101 | -.47454 | .54558 | | | | |
| 42. SS 241 | 13.900 S | 72.991 E | 4650 | PG | .91468 | -.61373 | -.45563 | -.14932 | .46487 | | | | |
| X of Variance | | | | | | 28.89 | 23.41 | 21.99 | 14.86 | | | | |
| Cumulative Variance | | | | | | 28.89 | 52.89 | 74.29 | 89.16 | | | | |

Note : Sampling devices are PG= Pettersson grab (optimum vertical penetration depth of 10 cm), BGt= boomerang grabs attached with 10 cm plastic tube, Sp-c= Siede core top, and V-Gr.= Van Veen Grab to collect the sea floor sediment. Dubious Holocene samples, mainly from larger coring devices having older fauna than *Collospira invaginata* zone (NR-1 > 0.17 Ma) of Johnson et al. (1989), were discarded.

of Imbrie and Kipp (1992). Details on definitions of the 25 radiolarian groups, the species included, taxonomic references, and may be found in Gupta (1991) and Gupta and Srinivasan (1992), and the same are briefly summarized as followings:

1. *Collospheara* group : Westberg-Smith et al., 1986; Gupta, 1991, pl. 1, figs. 1-26, pl. 2, figs. 1-3; Gupta and Srinivasan, 1992, pl. 1, figs. 7-13.
2. *Disolenia-Siphonosphaera* group : Gupta, 1991, pl. 2, figs. 4-8, pl. 3, fig. 14. *Disolenia* group Gupta and Srinivasan, 1991, pl. 1, fig. 14.
3. *Acrosphaera* group : Gupta 1991, pl. 2, figs. 9-27; Gupta and Srinivasan, 1992, pl. 1, figs. 1-6.
4. *Actinomma* group : Westberg Smith et al., 1986, p. 772. *Actinomma* group Gupta 1991, pl. 4, figs. 4-13, pl. 5, figs. 1-8, pl. 6, fig. 4-6; Gupta and Srinivasan, 1992, pl. 1, figs. 35-37.
5. *Spongodiscids* group : Westberg-Smith et al., 1986, p. 772; *Spongopyle* group Mullineaux and Westberg-Smith, 1986, p. 66, pl. 1, figs. 7a,b; Gupta, 1991, pl. 8, fig. 21, pl. 9, figs. 8-22, pl. 10, fig. 1.
6. *Spongaster-Spongocore* group : Mullineaux and Westberg-Smith, 1986, p. 69, pl. 1, fig. 13; Gupta, 1991, pl. 9, figs. 23-24, pl. 10, figs. 2-4.
7. *Halonina-Elatimina* group : Gupta 1991, pl. 5, figs. 29-21, pl. 6, 1-2, 4-6.
8. *Euxacontium* group : Riedel et al., 1985, pl. 1, figs. 6a-c, 7a-c; Westberg-Smith et al., 1986, p. 771 ; Gupta, 1991, pl. 6, figs. 11-21; Gupta and Srinivasan, 1992, pl. 2, figs. 1-4.
9. *Euchitonids* group : *Hymeniastrum* group Riedel et al., 1985, p. 505, pl. 2, figs. 2a, b; *Echtonids* group Gupta, 1991, pl. 8, figs. 9-12; Gupta and Srinivasan, 1992, pl. 1, figs. 15-18.
10. *Stylocyta-Stylochlamydium* group : *Stylocyta* group Westberg-Smith et al., 1986, p. 772. *Stylocyta-Stylochlamydium* group Gupta, 1991, pl. 9, figs. 1-7; Gupta and Srinivasan, 1992, pl. 1, figs. 19-24.
11. *Artiscins (Didymocystis)* group : Mullineaux and Westberg-Smith, 1986, p. 64, pl. 2, fig. 1. *Didymocystis* group Gupta, 1991, pl. 8, figs. 3-8.
12. *Phacodiscids (Heliodiscus)* group : Westberg-Smith et al., 1986, p. 772. *Heliodiscus* group Gupta, 1991, pl. 10, figs. 5-13; Gupta and Srinivasan, 1992, pl. 2, figs. 7,8.
13. *Litheliids* group : Mullineaux and Westberg-Smith, 1988, p. 66, pl. 2, figs. 4a, b. *Litheliids* group Gupta 1991, pl. 11, figs. 1-8; Gupta and Srinivasan, 1992, pl. 2, figs. 20-21.
14. *Pylonids* group : Mullineaux and Westberg-Smith, 1986, p. 66, pl. 2, fig. 2; Gupta, 1991, pl. 10, figs. 15-21; Gupta and Srinivasan, 1992, pl. 2, figs. 15-16.
15. *Larospira quadrangula* group : Mullineaux and Westberg-Smith, 1986, p. 66, pl. 2, fig. 7; Gupta, 1991, pl. 11, figs. 9-11; Gupta and Srinivasan, 1992, pl. 2, figs. 17-18.
16. *Stylosphaera* group : Westberg-Smith et al., 1986, p. 772; Gupta, 1991, pl. 7, figs. 10-21 and 23-26; Gupta and Srinivasan, 1992, pl. 1 figs. 38-42.
17. *Lophophaeina* group : Mullineaux and Westberg-Smith, 1986, p. 67, pl. 2, figs. 13 a-c. *Lophophaeina* group Gupta, 1991, pl. 12, figs. 6-13; Gupta and

- Srinivasan, 1992, pl. 2, figs. 28-31.
18. *Phormospyris Lophosyris* group : Gupta, 1991, pl. 12, figs. 24-26, pl. 13, figs. 1-9. *Phormospyris* group Gupta and Srinivasan, 1992, pl. 2 figs. 23-25.
19. *Sethophrmin* group A,B. : Riedel *et al.*, 1985, p. 508, pl. 4, figs. 2a, b; Mullineaux and Westberg-Smith, 1986, p. 67, fig. 17; Gupta, 1991, pl. 13, figs. 17-20.
20. *Petrocanium* group : Mullineaux and Westberg-Smith, 1986, p. 67, pl. 3, figs. 6 a-c; Gupta, 1991, pl. 14, figs. 15-21; Gupta and Srinivasan, 1992, pl. 3, figs. 12-14.
21. *Eucyrtidium* group : Mullineaux and Westberg-Smith *et al.*, 1986, p. 67, pl. 3, fig. 4; Gupta, 1991, pl. 16, figs. 8-23; Gupta, and Srinivasan, 1992, pl. 3, figs. 8-11.
22. *Theocorythium* group : Westberg-Smith *et al.*, 1986, p. 775; Gupta, 1991, pl. 15, figs. 13-16.
23. *Anthoeyrtidium* group : Mullineaux and Westberg-Smith, 1986, pl. 3, figs. 11 a, b; Gupta, 1991, pl. 16, figs. 26-31; Gupta and Srinivasan, 1992, pl. 3, figs. 26-28, and 52.
24. *Lamprocycals* group : Mullineaux and Westberg-Smith, 1986, pl. 3, figs. 13 a, b; Gupta, 1991, pl. 17, figs. 29-38, pl. 18, figs. 1-5; Gupta and Srinivasan, 1992, pl. 3, fig. 29.
25. *Phormostichoartus* group : Mullineaux and Westberg-Smith, 1986, pl. 3, figs. 14a, b; Gupta, 1991, pl. 18, figs. 20-22; Gupta and Srinivasan, 1992, pl. 3, figs. 36-42.

Data Sets and Analysis

Computer programming of the sequential procedures to execute Q-mode factor analysis, linear and curvilinear multiple regression analyses by least square technique to derive transfer functions, and their use in paleoestimates as described by Imbrie and Kipp (1971) was performed and tested on their data-set for reproducibility (Fernandes and Gupta, 1994). Success of the programming resulted in achieving similar results presented in different tables in Imbrie and Kipp (1971). We related our paleontological data with surface salinity, SST data of Wyrtiki (1971) and (Levitus 1982); and ppp data of Krey and Babenard (1976). The interpolation of contour values of oceanographic variables (figs. 1a-d) digitization of data at respective sampling locations was performed to relate factor values in regression analysis step. National Oceanic and Atmospheric Administration (NOAA) data (Levits, 1982) of SST and salinity for Spring (May-June-July) and Fall (Nov. Dec-Jan) seasons corresponding to southwest and northeast monsoon was acquired and interpolated at sampling locations from digital tapes on $1 \times 1^{\circ}$ square grid. Both the data-sets produced transfer functions with almost similar statistics. Derived transfer functions were used to estimate changes in the late Miocene Sawai Bay Formation.

Results and Discussion

Q-mode Factor Analysis

Surface sediment data (42 samples, 25 groups) was normalized within each sample to give each sample equal weight and subjected to Q-mode factor analysis that

Table 2. Supra-generic groups, their percentage ranges used in analyses and Factor Score Matrix after varimax rotation.

| Supra-generic groups | % range in calibration | Factors (Assemblages) | | | |
|-----------------------------------|------------------------|-----------------------|------------|----------------------|------------------|
| | | SW (F1) | NE (F2) | Transitional (F3) | Southern (F4) |
| 1. <i>Collophaera</i> | 9.57 | -.27212 | -.09077 | -.33915 | -.29170 |
| 2. <i>Disolenia-Siphonos.</i> | 6.05 | -.15192 | .10592 | -.51068 | -.15314 |
| 3. <i>Acrosphaera</i> | 4.49 | -.37855 | -.08452 | -.19013 | -.16683 |
| 4. <i>Actinomma</i> | 5.52 | -.10767 | -.14761 | -.02574 | -.13577 |
| 5. <i>Spongodiscids</i> | 31.59 | .09932 | -.63396 | .01762 | -.03441 |
| 6. <i>Spongaster</i> | 6.56 | -.01192 | -.49831 | .06614 | -.08668 |
| 7. <i>Haliomma/Elatomma</i> | 5.41 | -.26588 | -.35968 | .21388 | -.00975 |
| 8. <i>Hexacontium</i> | 8.40 | -.34306 | -.10805 | .26151 | .01958 |
| 9. <i>Euchitoniids</i> | 21.07 | .06300 | -.20593 | -.29991 | -.06501 |
| 10. <i>Stylocyrtis/chlamydium</i> | 13.79 | .15791 | -.37434 | -.23107 | .21006 |
| 11. <i>Didymocyrtis/Artiscin</i> | 19.52 | -.42706 | .10249 | .07717 | .31753 |
| 12. <i>Heliodiscus</i> | 4.87 | -.12165 | -.15913 | .00675 | -.02425 |
| 13. <i>Litheliids</i> | 6.45 | -.27713 | -.09160 | .00033 | -.02971 |
| 14. <i>Pyloniids</i> | 24.60 | -.37606 | .09540 | .02238 | .22342 |
| 15. <i>Larvospira</i> | 12.55 | -.20666 | .03964 | .04023 | .07255 |
| 16. <i>Stylosphaera</i> | 4.79 | .16832 | -.28923 | .01770 | .28804 |
| 17. <i>Lophophaeonida</i> | 3.30 | -.03439 | .07242 | -.29431 | .09401 |
| 18. <i>Phorospyris-Lophop.</i> | 6.76 | -.05553 | -.14793 | -.31533 | .33033 |
| 19. <i>Sethophormins</i> | 5.20 | -.07316 | .06625 | -.05359 | .06617 |
| 20. <i>Pterocanum</i> | 6.16 | .01225 | -.02954 | -.16960 | .26739 |
| 21. <i>Bucyrtidium</i> | 2.50 | .01047 | -.01623 | -.31270 | .08323 |
| 22. <i>Thecocorychium</i> | 1.48 | -.00515 | .01730 | -.09001 | .23170 |
| 23. <i>Anthocyrtidium</i> | 6.83 | -.09797 | .05598 | -.04564 | .34600 |
| 24. <i>Lamprocyclas/cytris</i> | 6.16 | .05572 | .03603 | .01976 | .39794 |
| 25. <i>Phornostichoartus</i> | 3.29 | .10506 | .00582 | -.21500 | .07059 |

resolved four varimax factors accounting for 89.15% of distributional variance of the group. The variance, cumulative variance of each factor and communality are also presented in Table 1. The varimax factor score matrix (F) of each of 25 groups shows the relative importance of each group in each factor (Table 2). These factors are named after dominant groups and the geographical area of higher loading. The cumulative variance in our 4-factor model is ecological significant as it represents 89.15% of total variance. Communality of samples ranged from 0.683-0.952 accounting for 82.93-97.58% of the informations retained. Communality for each samples is

a useful internal check of distortion of surface-sediment data due to differential dissolution, stratigraphic mixing, taxonomic inadequacies (by lumping several species into broadly defined group) and local ecological anomalies in factor-assemblage model (Imbrie *et al.*, 1973). Higher communality (h^2 0.8915) for broadly-defined radiolarian groups shows that the abundances of groups have not been distorted. It shows that lumping of several species into a broadly-defined group based on few persistent common characters since late Neogene has successfully retained the environmental informations (h^2 94.42%) preserved in surface sediments and describe major fea-

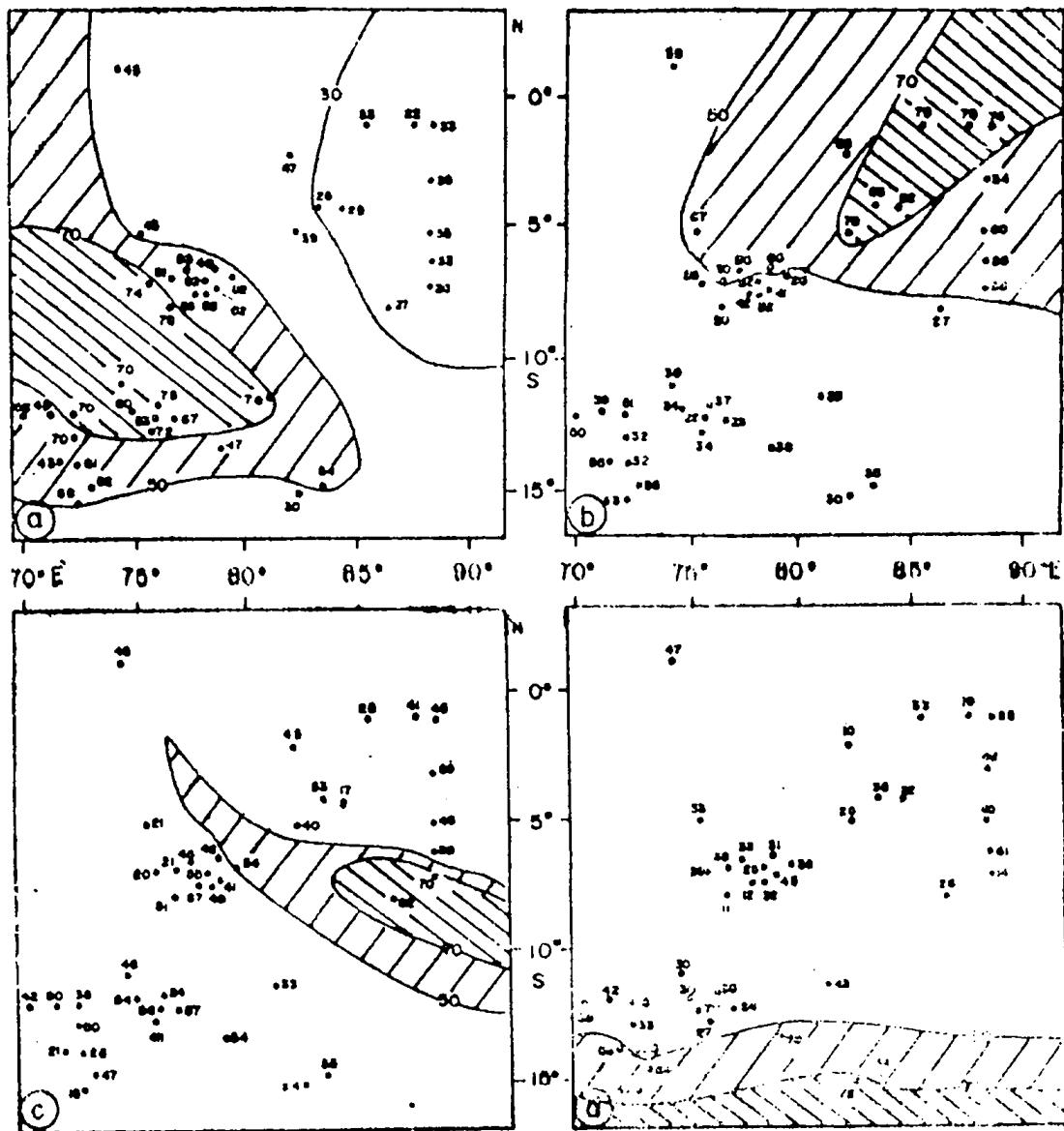


Fig. 3. Factor loading maps and areas of dominances shown by diagonal lines. (a) southwest hypersaline factor 1; (b) northeast hypoaline factor 2; (c) oblique-transitional factor 3; and (d) southern hydrographic front at 10-12°S factor 4 in the central Indian Basin.

ures of the radiolarian distribution in the basin.

Factor Mapping

The factor loading values of each factor

(Table 1) were plotted at respective sampling sites on geographical maps (Fig. 3a-d) to examine their spatial distribution and to relate them with oceanographic characteristics of the overlying surface water in the

basin.

Factor 1 (F1), Southwest Artiscin-Pyloniid-Acrosphaera assemblages

The first factor accounted for 28.88% of variance with highest loading in the southwestern area (Fig. 3a). It is dominated by Artiscins (*Didymocystis tetrathalmus*), Pyloniids (*Tetrapyle octacantha*, *Octopyle stenozona*, and *Hexapyle dodecantha*) and Acrosphaera groups. Our decision to count all Pyloniids together is supported by Nigrini's (1991, p. 94) expression for the need of % data on combined group of *T. octacantha* and *O. stenozona* to establish its increased abundance in area of monsoonal upwelling. This factor is comparable to Moore's (1978) Pan-Pacific western tropical factor dominated by *D. tetrathalmus* as the dominant species are similar in all. The SST is comparatively lower (23-27°C), whereas surface salinity 34.5-34.9 ppt of the surface water in this area is higher during SW than NE monsoon (Fig. 1). This factor is correlated with cooler (23-27°C) and hypersaline (>34.5ppt) and highly productive (>0.3 mg C/m³/d) surface water mass during SW monsoon. Unfortunately, no radiolarian plankton-tow data at various waters depths is available from the tropical Indian Ocean. But the representatives of Pyloniids group *T. octacantha* and *O. stenozona* have abundance maxima at 50 m of the water depth in the northwest Pacific Ocean (Kling, 1979). Dworetzky and Morley (1987) reported highest number of *T. octacantha* and *Hexapyle* spp. and *D. tetrathalmus* from 20-50 m water depths near chlorophyll-a maximum at MOCN-016-017 plankton tow sites in the eastern equatorial Atlantic Ocean. *Acrosphaera* group is a known colonial radiolaria

dwelling in surface water. We assumed that the habitat of Artiscins and Pyloniids is similar to that of Pacific and Atlantic Oceans and represents surface water characteristics in tropical Indian Ocean also.

Factor 2 (F2), Northeast Spongaster-Spongodiscids-Stylocydia assemblages

The second factor accounted for 23.41% of variance with highest loading in the northeastern area, north of 6°S (Fig. 3b). It is dominated by Spongaster, Spongodiscids and Stylocydia/Stylochlamydium groups. Constituent species of these groups are *Spongaster tetras*, *S. tetras irregularis*, *Spongocore puella*, *Spongotrochus glacialis*, *Spongodiscus resurgens*, *Spongodiscus bleekeriensis*, *Spongularia* spp., *Spongopyle osculosa*, *Stylochlamydium asteriscus*, *S. venustum*, and *Stylocydia validispina*. Lozano and Hays (1976) also combined the abundances of *S. glacialis* and *S. osculosa* in their transfer function. This factor is comparable to Moore's (1978, fig. 11a) New Guinea factor dominated by *Stylochlamydium asteriscus* in western tropical Pacific, a region that also receives fresh water flux from the south China Sea during southwest monsoon. Dworetzky and Morley (1987) reported highest number of *S. tetras*, *Spongodiscus* spp. and *S. glacialis* at 20-40 m water depths coinciding with chlorophyll-a maximum at MOCN-016-017 plankton tows sites in the eastern equatorial Atlantic Ocean which shows that the group represents surface-water characters. Higher SST (>27-28°C) north of 6°S and lower salinity (34.5ppt) and low ppm (<0.2mgC/m³/d) in the NE area during SW monsoon is evident (Fig. 1). Therefore, this factor is related to higher SST (>27-28°C), lower salinity (<34.5ppt)

and low PPP ($0.05\text{-}0.1 \text{ mgC/m}^2/\text{d}$) during SW monsoon.

Factor 3 (F3), transitional assemblage

The third factor accounted for 21.98% of variance with highest loading in the area shown by diagonal lines (Fig. 3c). It is dominated by *Disolenia*, *Collospheara*, *Eucyrtidium* and *Euchitoniiids* groups. Euchitoniid group is comprised of *Euchitonina elegans*, *E. furcata*, *Euchitonina sp.*, *Dictyocoryne truncata*, *Dictyocoryne profunda*, and *Hymenialastrum euclidis*. Morley (1989, p. 296) also counted *E. furcata* and *E. elegans* together as *Euchitonina spp.* This factor is comparable to western Pacific factor dominated by *E. furcata*, *E. elegans*, *D. profunda* and *D. truncata* representing warmer waters of western Pacific tropical gyre (Moore, 1978, fig. 9a). *Disolenia*, *Collospheara*, *D. truncata* and *Euchitonina spp.* live 10-40 m water depth at 23-40 m water depth coinciding with chlorophyll-a maximum at MOCN-016-017 plankton tow sites in the eastern equatorial Atlantic Ocean (Dworetzky and Morley, 1937). The transitional assemblage seems to be an oblique boundary of salinity contrast along 34.5 ppt isohaline (Fig. 1d) between SW and NE assemblages.

Factor 4 : Southern 10-12°S hydrographic front assemblage

The fourth factor accounted for 14.86% variance with higher loading in southern part of the basin south of 12°S latitude (Fig. 3d). It is dominated by *Lamprocyclas*, *Anthocystidium* and *Lophospyris-Phormospyris* groups. The area of dominance of 4th factor is south of 10-12°S hydrographic front of Wyrtki (1973) in the equatorial Indian Ocean.

Regression Analysis

The factor loading values in each 42

surface sediments were related to modern SST, salinity and PPP of the surface water overlying each sampling site during SW and NE monsoons using multiple regression by least square technique. Both linear and curvilinear equations were derived and examined. Results of curvilinear equations have better statistics and lower residuals (observed minus estimated). Therefore, these equations are used in paleoestimates. The regression coefficients of the variables, the intercepts for curvilinear equation, proportion of variance, multiple correlation coefficients (*r*), standard errors of estimate (s.e.e.), and % of range of variables expressed by s.e.e. of SST, salinity, PPP during SW monsoon are listed in the summary statistics (Table 4). It is evident that the equations for the southwest monsoon are significant at 0.001 level (99.9%) for the salinity-July August, salinity-Spring, PPP-SWM, SST-Spring and SST-August. The regression, the intercepts and factor score (*F*) matrix define the transfer functions derived from the faunal and SST, salinity and PPP data-sets. The equations are named as NORTHEASTERN INDIAN OCEAN (NIO) after Gupta and Fernandes (GF) with suffix of the numerals (Table 3).

Constraints on the tropical transfer function

We achieved low standard errors of estimate for SST-August and SST-Spring which shows that our estimates are accurate within \pm or -1°C . Unlike higher latitude regions, the faunal factors in tropics (Atlantic) are not strongly correlated due to lower SST-range ($24\text{-}29^\circ\text{C}$), but they show good correlation ($r=0.6$) (Ravelo *et al.*, 1990; Gupta in press). Moreover, the Pacific and Atlantic Oceans do not have much influence of monsoon compared to northern

Table 3. Curvilinear equations and summary statistics for various variables.

| Data Source | <----- Wyrtki (1971)-----> | | <----Levitus (1982)----> | | <Krey & Baben., 76> | |
|------------------------------------|----------------------------|----------------------|--------------------------|-------------|---------------------|----------------------------|
| Variables | SST-August | Salinity-July-August | Salinity-Spring | SST-Spring | PPP-SW | |
| Equation No. | MIO-GF-1 | MIO-GF-2 | MIO-GF-3 | MIO-GF-4 | MIO-GF-5 | MIO-GF-6 |
| 14 terms | | | | | | |
| F1 | -65.87894 | +11.63134 | +2.97422 | +7.78309 | -171.86463 | +.62448 |
| F2 | -67.26555 | +13.12113 | -11.46092 | +6.07707 | -173.30041 | +4.19586 |
| F3 | -65.77937 | +14.50199 | -4.36903 | +8.27928 | -155.10130 | +1.69468 |
| F4 | +61.94474 | -12.77122 | -6.01031 | -7.19657 | +157.10560 | -1.15148 |
| F1 ² | -21.09511 | +1.17110 | -1.37387 | +2.04291 | -50.57028 | .54321 |
| F1xF2 | -37.92219 | +8.03197 | -7.27518 | +3.11789 | -101.12600 | +1.26591 |
| F1xF3 | -41.49414 | -0.64418 | +1.65786 | +4.53557 | -106.11840 | +1.46463 |
| F1xF4 | +47.19274 | -9.15180 | -5.34537 | -4.00151 | +103.95960 | -1.73159 |
| F2 ² | -22.54539 | +2.83198 | -11.63023 | +1.36714 | -53.97767 | +2.24074 |
| F2xF3 | -39.96597 | +7.81606 | -8.56856 | +4.68777 | -98.40904 | +1.97749 |
| F2xF4 | +36.25882 | -7.18166 | -1.19276 | -2.55199 | +90.48481 | -1.86159 |
| F3 ² | -24.11968 | +4.15172 | -4.36835 | +1.82796 | -53.08046 | +.63055 |
| F3xF4 | +26.11875 | -5.99403 | +7.7619 | -5.79482 | +73.32769 | +.76531 |
| F4 ² | -22.23023 | +3.14131 | +1.29301 | +2.30823 | -51.35821 | +.07476 |
| Intercept | -25.36438 | 46.01700 | 33.31356 | 41.00345 | -114.668 | 1.68858 |
| X Variance | 53.588 | 80.263 | 67.005 | .55334 | 6593 | 74.688 |
| ^a Adj. Cor. Coefficient | r=0.543 | 0.617 | 0.706 | 0.56722 | 0.6947 | 0.783 |
| ^a S.d. Err. | 0.9°C | 0.12ppt | 0.2ppt | 0.143ppt | 1.026°C | 0.09 mgC/m ² /d |
| Est. (S.E.E.) | | | | | | |
| Range | 20.6-25.3 | 34.8-34.1 | 35.1-33.8 | 36.76-34.11 | 29.74-24.46 | 0.55-0.10 |
| calibrated | 3.3°C | 0.7ppt | 1.3ppt | 0.65ppt | 5.283°C | 0.45 mgC/m ² /d |
| X Expressed | 27.3 | 17.15 | 15.38 | 22.02 | 18.93 | 20.00 |
| In C.E. | | | | | | |

Note: ^a = Adjusted for degree of freedom (N-2). Multiple correlation coefficients higher than threshold of r=0.459 for n=40 at 0.201 level of significance is considered to be trustworthy (cf. Imbrie & Kipp, 1971, p. 106) at significance level of 0.001 (99.9%). Our MCC >0.534-0.637 show massive significance levels at .001 and 99.9% correlation with SST-Aug., salinity-July-August, SST-Spring and salinity-Spring during SW monsoon in the central part of the tropical Indian Ocean. Our MCC. range (r=0.5-0.8) is close to the CLIMAPS's MCC. range (r=0.6-0.9) used for the Pacific SST reconstruction (Moore, et al. 1980, cf. p. 221)

Indian Ocean, which is land locked in the north by the Asian plate and restricts the mixing of north pole water to produce similar thermal gradient. Therefore, the order of the faunal sensitivity to SST ($r=0.9$) and salinity ($r=0.8$) in the Atlantic and Pacific Oceans (Imbrie and Kipp, 1971; Moore, 1973b) is reversed in northern Indian Ocean, i.e., salinity-July-August ($=0.837$) and SST-

Spring ($r=0.695$) because of extreme salinity changes due to monsoon. Furthermore, Moore et al. (1980, cf. p. 221) used DP-1 transfer function with multiple correlation coefficient as low as $r=0.62$ in paleoestimates of the eastern equatorial Pacific. In brie and Kipp (1971, cf. p. 106) used data from the entire Atlantic Ocean and mentioned that any correlation coefficient (r , Ligher

than the threshold value of significance level at 0.001 level (0.411 for their $N=61$; whereas, it is 0.499 for our $N=42$) is statistically significant and transfer function is valid to estimate changes at 99.9% confidence level. Comparing the smaller geographic area of the central Indian Basin (20°N - 16°) to the entire Atlantic and Pacific Oceans, our correlation coefficients (m.c.c. range $r=0.543-0.837$) are significant for the reliable estimates of the SST-August, SST-Spring, salinity-July-August, salinity-spring and PPP-SWM (Table 3), and are at par with the CLIMAP's standard for SST reconstruction in the Pacific Ocean at 18,000 years (m.c.c. range $r=0.6-0.9$, Moore *et al.*, 1980).

Reliability of transfer functions

Using the ecological equations in Table 3, we estimated oceanic variables for the 42 surface sediments (Table 4) that are sound within the \pm or - limits of the standard errors of estimates. The estimated values are subtracted from the observed values at each of 42 sampling sites for residuals of each variable. The spatial distributions of residuals $>1.0 \pm \text{s.e.}$ of these variables have no geographic trend. The soundness of estimated values of these variables is depicted in X-Y graphs, which shows almost linear relationship for the majority of estimates within the coherent bands of respective standard errors of estimates (Fig. 4). Therefore, it has similar potential for longer geological time scale such as late Miocene as the species or species group data had for the late one million years.

Paleoestimates

Paleoestimates from the late Miocene radiolarian data of Sawai Bay Formation,

Neill island (Gupta and Srinivasan, 1992) are presented in Table 5. Paleoestimates show warmer periods associated with lower salinity due to higher fresh water influx alternating with colder periods with higher salinity due to poor fresh influx from the Ganges-Brahmaputra rivers into the Bay of Bengal (Fig. 5). Gupta and Srinivasan (1992) recognized warmer-humid and colder-arid periods during *Stichocorys peregrina*, *Didymocystis antepenultima* and *Didymocystis penultima* zones respectively, and attributed warmer climatic periods (W) corresponding to W-11 (5.6-5.0 Ma) and W-9 (8.2-7.9 Ma) warmer events of Barron and Keller (1983) in Sawai Bay Formation (Fig. 5). Besides confirming W-11 and W-9, it also has resolved W-10 (6.5-6.1 Ma) and W-8 (9.2-9.0 Ma) warmer periods. SST-August fluctuated within 1.3°C in late Miocene that concurs with CLIMAP (1981), Prell *et al.* (1980, cf. figs. 9a-b) and COHMAP (1988) that region around Andamans in the Bay of Bengal witnessed little ($\sim 1^{\circ}\text{C}$) change in SST-Aug. during colder periods such as last glacial maxima (LGM). Similarly, SST spring (May-June-July) fluctuated within 3°C around the Andamans during late Miocene.

Salinity-July-Aug. fluctuated within 0.4 ppt in Sawai Bay Formation. Association of SST-peaks with salinity-troughs and vice versa is in agreement with Prell *et al.* (1980) that salinity was higher in the Bay of Bengal during weaker southwest monsoons in colder periods like LGM than at present. Salinity at 13°N latitude, north of Neill Island (12°N), was higher (>35 ppt) during LGM than at present (~ 32 ppt) suggesting considerably low fresh water runoff into the Bay of Bengal in colder periods analogous to

Table 4. Observed (Ob.) and estimated (Est.) values of dependent variables (Y) using the curvilinear equations.

| S.N. | SST-Aug. °C | | SST-Spring °C | | Salinity J-Aug. | | Salinity N-Dec. | | Salinity-spring | | PPP-SUM | |
|------|-------------|--------|---------------|--------|-----------------|--------|-----------------|--------|-----------------|--------|---------|-------|
| | Ob. | Est. | Ob. | Est. | Ob. | Est. | Ob. | Est. | Ob. | Est. | Ob. | Est. |
| 1. | 28.60 | 28.029 | 28.899 | 29.060 | 34.400 | 34.457 | 34.600 | 34.556 | 34.560 | 34.418 | 0.300 | 0.373 |
| 2. | 28.30 | 28.116 | 29.209 | 28.620 | 34.200 | 34.313 | 34.300 | 34.147 | 34.460 | 34.444 | 0.400 | 0.368 |
| 3. | 28.30 | 28.434 | 29.744 | 28.650 | 34.200 | 34.025 | 34.200 | 34.201 | 34.510 | 34.481 | 0.250 | 0.141 |
| 4. | 28.30 | 28.057 | 28.401 | 28.860 | 34.200 | 34.299 | 34.100 | 34.214 | 34.510 | 34.529 | 0.250 | 0.263 |
| 5. | 28.60 | 28.195 | 29.221 | 29.090 | 34.200 | 34.211 | 34.100 | 33.956 | 34.560 | 34.455 | 0.300 | 0.347 |
| 6. | 28.30 | 28.355 | 29.067 | 29.130 | 34.200 | 34.039 | 33.800 | 33.932 | 34.530 | 34.617 | 0.300 | 0.189 |
| 7. | 27.30 | 27.521 | 27.856 | 27.680 | 34.200 | 34.196 | 34.600 | 34.554 | 34.210 | 34.229 | 0.250 | 0.223 |
| 8. | 27.60 | 27.564 | 28.064 | 28.380 | 34.100 | 34.212 | 34.600 | 34.560 | 34.360 | 34.373 | 0.150 | 0.240 |
| 9. | 27.60 | 27.270 | 27.469 | 28.670 | 34.100 | 34.324 | 34.500 | 34.547 | 34.420 | 34.606 | 0.150 | 0.190 |
| 10. | 27.60 | 28.078 | 29.153 | 28.890 | 34.100 | 34.291 | 34.500 | 34.407 | 34.470 | 34.466 | 0.150 | 0.266 |
| 11. | 28.30 | 27.401 | 28.395 | 29.150 | 34.200 | 34.356 | 33.900 | 34.340 | 34.530 | 34.408 | 0.200 | 0.268 |
| 12. | 27.00 | 28.107 | 29.245 | 29.150 | 34.200 | 34.181 | 33.800 | 33.811 | 34.490 | 34.471 | 0.300 | 0.342 |
| 13. | 25.60 | 26.502 | 27.578 | 26.380 | 34.500 | 34.630 | 34.700 | 34.639 | 34.280 | 34.211 | 0.500 | 0.501 |
| 14. | 25.30 | 26.743 | 26.500 | 25.650 | 34.200 | 34.862 | 34.800 | 34.922 | 34.370 | 34.409 | 0.500 | 0.469 |
| 15. | 26.30 | 26.773 | 27.849 | 26.940 | 34.700 | 34.657 | 34.700 | 34.693 | 34.180 | 34.150 | 0.500 | 0.519 |
| 16. | 28.00 | 27.967 | 28.541 | 28.590 | 34.600 | 34.539 | 35.000 | 34.811 | 34.350 | 34.435 | 0.400 | 0.358 |
| 17. | 28.00 | 26.308 | 25.917 | 26.420 | 34.600 | 34.679 | 34.600 | 34.615 | 34.170 | 34.263 | 0.500 | 0.496 |
| 18. | 27.30 | 25.907 | 26.174 | 29.140 | 34.600 | 34.735 | 34.400 | 34.681 | 34.230 | 34.364 | 0.500 | 0.504 |
| 19. | 27.60 | 26.902 | 27.564 | 28.200 | 34.600 | 34.602 | 34.400 | 34.483 | 34.200 | 34.352 | 0.500 | 0.466 |
| 20. | 27.30 | 26.728 | 27.581 | 28.260 | 34.600 | 34.611 | 34.600 | 34.561 | 34.180 | 34.212 | 0.500 | 0.481 |
| 21. | 27.60 | 26.745 | 27.597 | 28.290 | 34.600 | 34.582 | 34.600 | 34.572 | 34.110 | 34.081 | 0.500 | 0.516 |
| 22. | 27.30 | 26.778 | 27.384 | 28.410 | 34.600 | 34.614 | 34.400 | 34.609 | 34.170 | 34.271 | 0.500 | 0.513 |
| 23. | 27.30 | 26.932 | 27.981 | 28.300 | 34.600 | 34.646 | 34.400 | 34.761 | 34.230 | 34.239 | 0.500 | 0.477 |
| 24. | 27.30 | 26.596 | 27.555 | 28.160 | 34.600 | 34.668 | 34.300 | 34.633 | 34.280 | 34.277 | 0.500 | 0.484 |
| 25. | 27.60 | 26.637 | 27.221 | 23.460 | 34.600 | 34.653 | 34.500 | 34.563 | 34.220 | 34.338 | 0.500 | 0.492 |
| 26. | 27.60 | 27.562 | 28.456 | 28.360 | 34.600 | 34.507 | 34.300 | 34.506 | 34.290 | 34.381 | 0.500 | 0.427 |
| 27. | 26.00 | 26.788 | 27.759 | 27.300 | 34.700 | 34.593 | 34.700 | 34.486 | 34.140 | 34.263 | 0.500 | 0.442 |
| 28. | 28.60 | 27.414 | 28.246 | 29.100 | 34.700 | 34.532 | 35.100 | 34.778 | 34.750 | 34.459 | 0.250 | 0.344 |
| 29. | 26.30 | 26.416 | 27.339 | 27.760 | 34.700 | 34.661 | 34.700 | 34.653 | 34.140 | 34.244 | 0.500 | 0.503 |
| 30. | 25.60 | 26.038 | 26.809 | 26.240 | 34.700 | 34.693 | 34.700 | 34.586 | 34.340 | 34.257 | 0.500 | 0.517 |
| 31. | 25.60 | 27.509 | 28.720 | 26.790 | 34.700 | 34.521 | 34.700 | 34.656 | 34.320 | 34.263 | 0.500 | 0.434 |
| 32. | 26.00 | 26.586 | 27.128 | 26.790 | 34.700 | 34.593 | 34.700 | 34.472 | 34.320 | 34.416 | 0.500 | 0.395 |
| 33. | 25.30 | 26.149 | 26.040 | 25.240 | 34.700 | 34.604 | 34.700 | 34.771 | 34.440 | 34.378 | 0.500 | 0.447 |
| 34. | 26.00 | 26.230 | 26.511 | 26.290 | 34.700 | 34.694 | 34.700 | 34.565 | 34.480 | 34.361 | 0.500 | 0.508 |
| 35. | 26.00 | 26.309 | 27.073 | 27.450 | 34.700 | 34.688 | 34.700 | 34.577 | 34.150 | 34.259 | 0.500 | 0.496 |
| 36. | 25.60 | 26.164 | 26.978 | 27.330 | 34.700 | 34.626 | 34.700 | 34.597 | 34.140 | 34.233 | 0.500 | 0.504 |
| 37. | 26.30 | 25.631 | 25.998 | 25.980 | 34.600 | 34.723 | 34.600 | 34.656 | 34.420 | 34.296 | 0.500 | 0.535 |
| 38. | 25.60 | 25.896 | 25.756 | 25.560 | 34.700 | 34.649 | 34.600 | 34.697 | 34.390 | 34.493 | 0.500 | 0.407 |
| 39. | 25.30 | 25.964 | 26.164 | 24.630 | 34.700 | 34.690 | 34.600 | 34.514 | 34.760 | 34.443 | 0.250 | 0.433 |
| 40. | 26.60 | 26.352 | 24.461 | 24.310 | 34.700 | 34.642 | 34.600 | 34.568 | 34.760 | 34.689 | 0.250 | 0.264 |
| 41. | 25.50 | 26.067 | 26.223 | 25.360 | 34.800 | 34.665 | 34.800 | 34.599 | 34.370 | 34.476 | 0.500 | 0.414 |
| 42. | 25.30 | 25.779 | 26.069 | 26.700 | 34.800 | 34.736 | 34.900 | 34.748 | 34.430 | 34.238 | 0.500 | 0.545 |

LGM (Cullen, 1981). Similarly, spring-salinity estimates fluctuated within 0.35 ppt around Andamans. Lowering trend in the spring salinity suggests strengthening of monsoon since 9.0-5.0 Ma. The modern mean salinity (July Aug.) is ~33.5 ppt, whereas it was 34.5 ppt around the Neill Island in the late Miocene (Fig. 6). The hyper-sal-

ine water mass was flushed out of the Bay of Bengal by the hypersaline water due to riverine fresh water flux from the Ganges-Brahmaputra rivers during periods analogous to the Holocene and the reverse happened in colder periods analogous to LGM. Oscillation of hypersaline water mass in the bay of Bengal suggests monsoonal fresh water

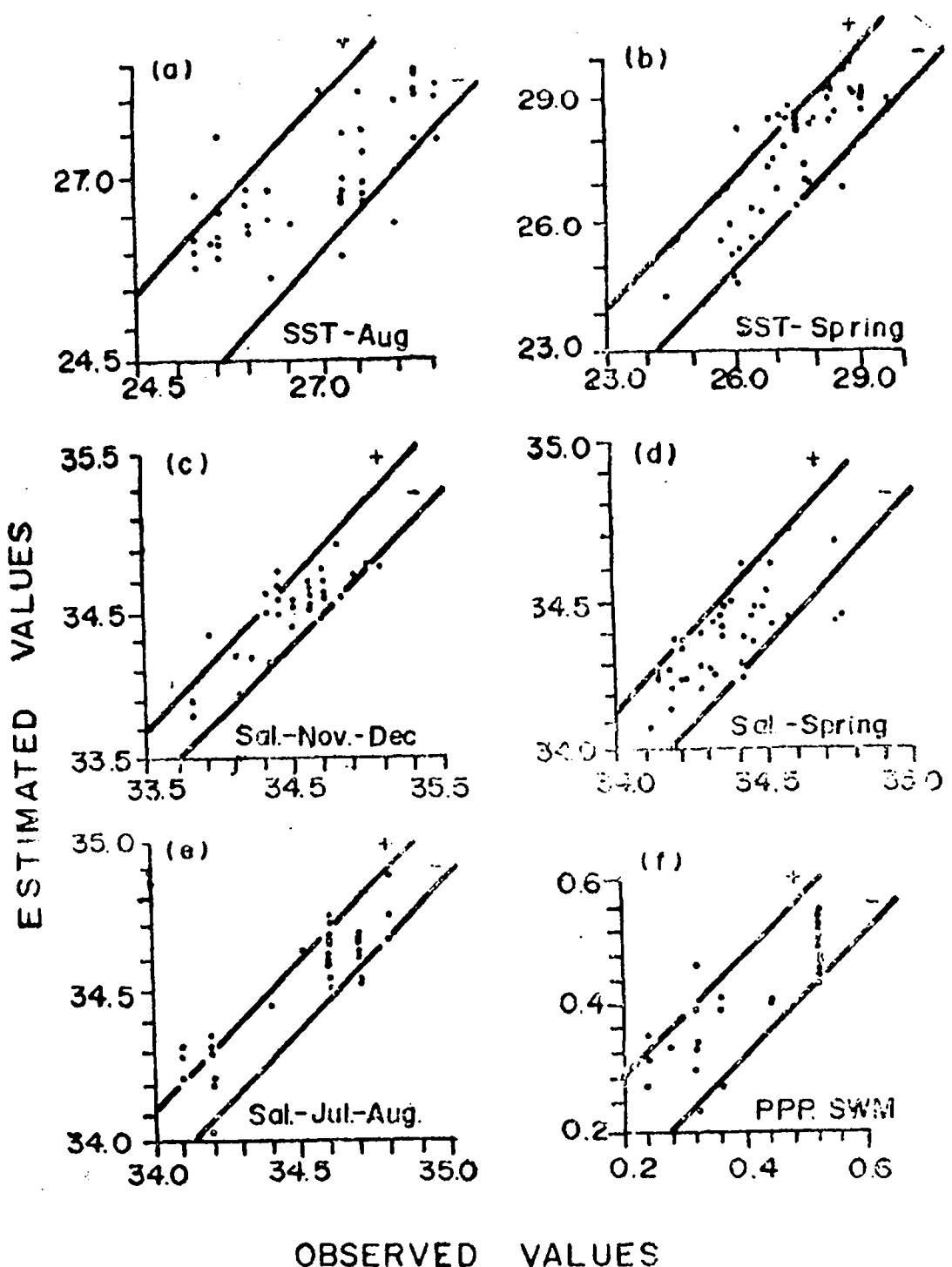


Fig. 4. X-Y graphs depicting linear relationship between observed and estimated values within a coherent band (+ or -) of the standard errors of estimate of the SST-Aug. (a), SST-Spring (b), salinity-Nov-Dec. (c), salinity-Spring (d), salinity-July-Aug. (e) and PPP-SW monsoon (f). It suggests that estimates from the transfer functions are reliably reproducible.

Table 5. Paleoestimates in Sawai Bay Formation, Neill Island, Andamans.

| S.N. | Sample number | Factor 1 | Factor 2 | Factor 3 | Factor 4 | Salinity Nov.-Dec. | Salinity July-Aug. | Salinity Spring | SST August | SST Spring | PPP-SWM |
|------|---------------|----------|----------|----------|----------|--------------------|--------------------|-----------------|------------|------------|---------|
| 1. | Mf538 | .28736 | .45028 | .55496 | .30356 | 35.075 | 34.347 | 34.347 | 28.401 | 28.483 | 0.175 |
| 2. | Mf536 | .31057 | .36581 | .54970 | .33465 | 35.171 | 34.466 | 34.466 | 28.193 | 28.424 | 0.204 |
| 3. | Mf533 | .38601 | .34016 | .48493 | .24400 | 35.569 | 34.851 | 34.851 | 27.331 | 27.599 | 0.312 |
| 4. | Mf530 | .35676 | .35141 | .64343 | .16222 | 35.408 | 34.648 | 34.648 | 27.278 | 27.995 | 0.323 |
| 5. | Mf527 | .40670 | .47352 | .43260 | .16011 | 35.635 | 34.803 | 34.803 | 27.616 | 27.536 | 0.280 |
| 6. | Mf523 | .41280 | .32549 | .48260 | .33791 | 35.216 | 34.678 | 34.678 | 27.801 | 28.178 | 0.321 |
| 7. | Mf520 | .19319 | .45944 | .47389 | .33393 | 35.372 | 34.420 | 34.420 | 28.087 | 27.882 | 0.049 |
| 8. | Mf516 | .25376 | .48767 | .44547 | .32207 | 35.338 | 34.456 | 34.466 | 28.244 | 28.023 | 0.127 |
| 9. | Mf511 | .19350 | .57538 | .40555 | .27174 | 35.393 | 34.462 | 34.462 | 27.914 | 27.498 | 0.055 |
| 10. | Mf507 | .27600 | .49676 | .45841 | .35386 | 35.116 | 34.359 | 34.359 | 28.527 | 28.360 | 0.151 |

flux related salinity changes in the north-eastern Indian ocean.

Implication on Paleomonsoonal Studies

Probably, Gupta *et al.* (1988) were first to present a paleomonsoonal scenario with enhanced riverine sedimentary discharge from the Irrawadi river during warmer periods is one of the cause of basinal shallowing of Sawai Bay Formation in the late Miocene (~9.0-5.0 Ma). Several results converging to the late Miocene origin of monsoon have appeared in subsequent years. Quade *et al.* (1989) reported the onset of an intensified monsoon at ~7.4-7.0 Ma that reflected in C₃ and C₄ carbon-isotopic shift in soil profiles and vegetational changes from Potwar Plateau, Pakistan. Barcile (1989) suggested the initiation of monsoonal circulation in northern Indian Ocean at ~10 Ma that resulted in a remarkable increase in diatom abundance. Nigrini (1991) found late Miocene (~8.5 Ma) paleomonsoonal signals related to endemic monsoonal upwelling fauna at ODP-117-122 site. Rapid build-up of the Bengal and Indus fan sediments in the late Miocene

indicates elevation changes in the Himalaya and is considered to be associated with onset of monsoon (Cochran, 1990; Rea, 1992). Klootwijk *et al.* (1992) reported distinct climatic changes at 10.4-10.8, 8.6, 5.5-5.1, 2.7-2.5, 1.9 and 1.2-1.1 Ma related to sedimentary flux from the Ganges-Brahmaputra drainage system into the Bay of Bengal in ODP-117-758 site. Gupta and Srinivasan (1992) and Gupta and Fernandes (1994) presented paleomonsoonal and oceanographic changes respectively in term of higher sedimentation and the fresh water discharge during warmer periods associated with stronger monsoons corresponding to W-9 and W-11 warmer periods.

We have resolved two additional periods corresponding to W-10 (6.3-6.5 Ma) and W-8 (8.8-9.0 Ma) associated with salinity minima (Fig. 5). If the W-8 warmth and low salinity is followed by W-7 (~10 Ma) and W-6 (~11.3 Ma) in older geological samples, than the origin of monsoon may be older than previously at ~8.5 Ma (Prell *et al.*, 1992), because the lowering of surface salinity is the first order oceanographic change due to monsoonal fresh water flux into the Bay of Bengal and the northeastern

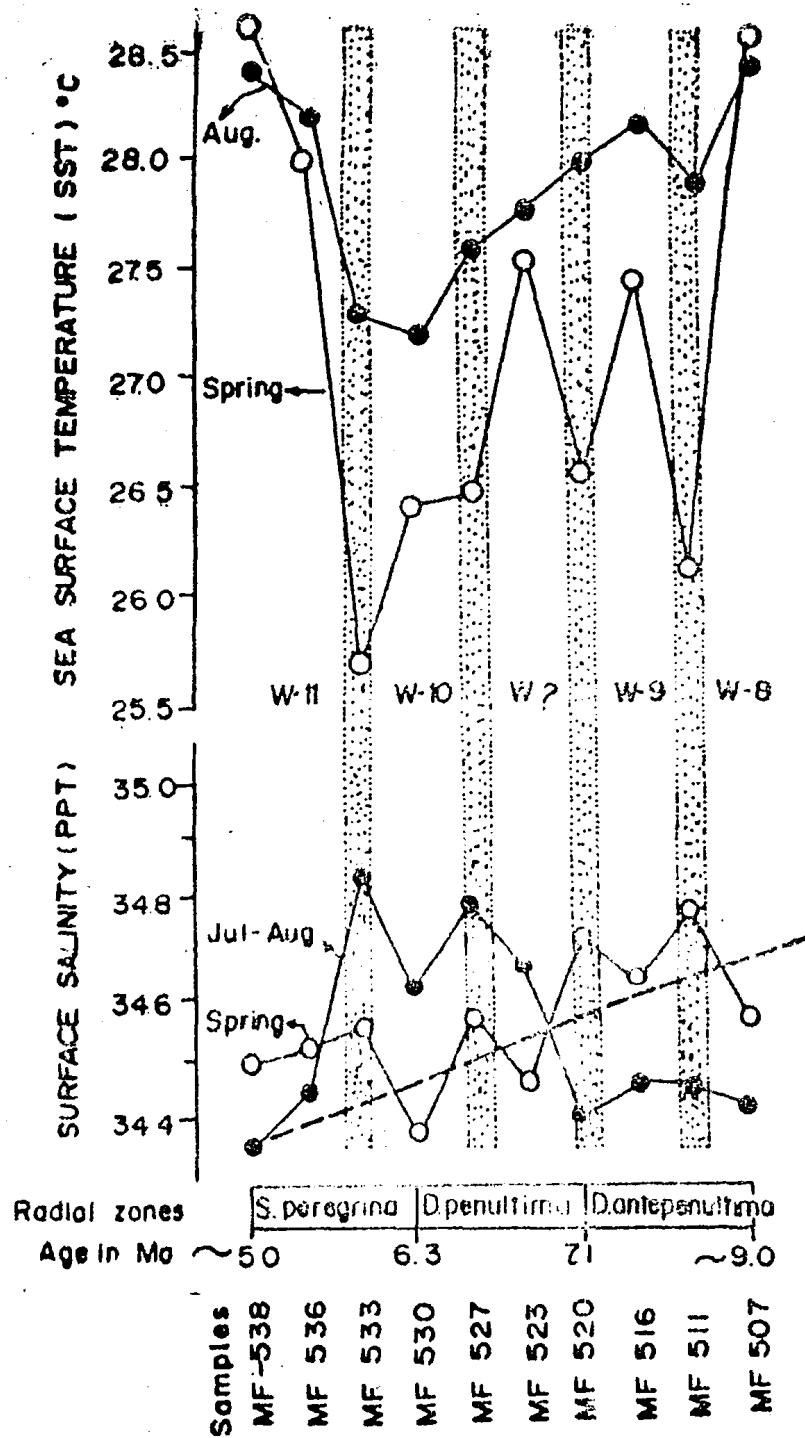


Fig. 5. Paleoclimatic estimates of SST-Spring and salinity-July-Aug. and salinity-spring in Sawai Bay Formation of Gupta and Srinivasan (1992). Broken line over salinity-Spring shows consistently lowering trend since 9.0-5.0 Ma suggesting the strengthening of monsoon in the late Miocene.

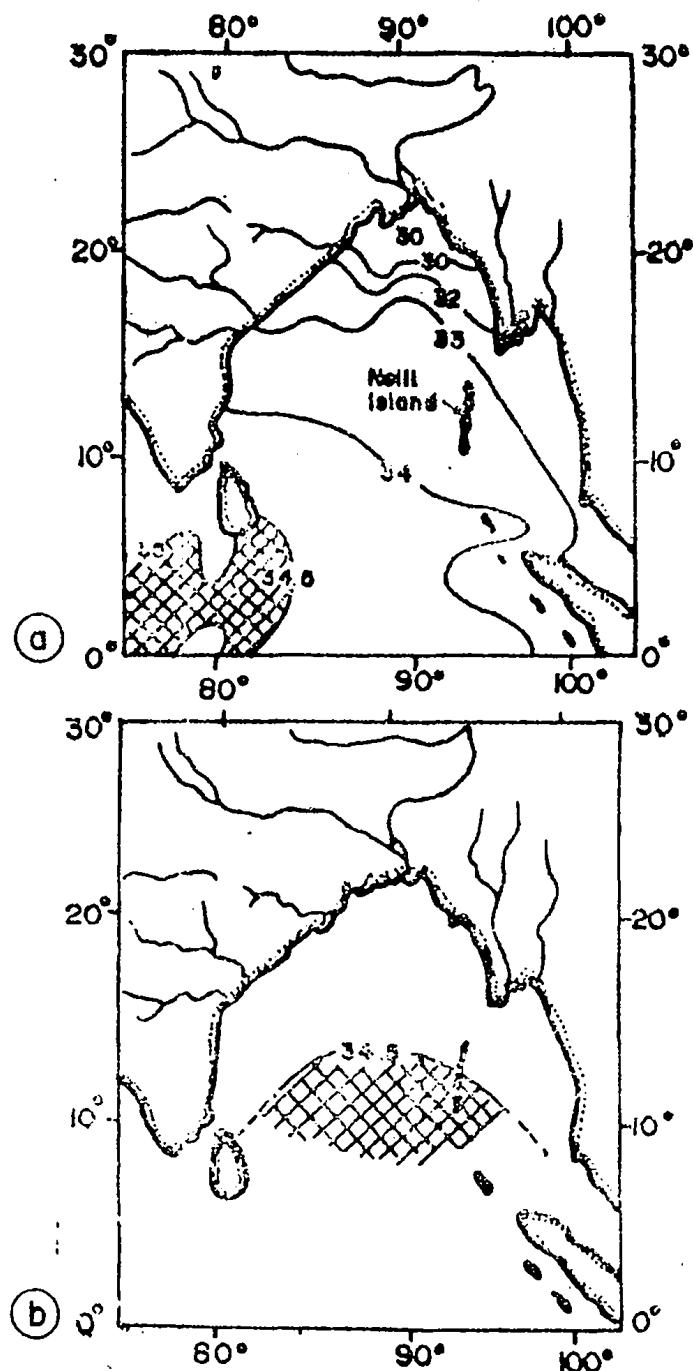


Fig. 6. Mean surface salinity during July-August in modern (a, after Wyrtki, 1971) and mean of late Miocene over Neill Island (34.55ppt) Andamans in the Bay of Bengal (b). Note coverage of hypersaline water (crosshatched >34.5 ppt) in modern time south of Sri Lanka (a) and its deeper intrusion into the Bay of Bengal in late Miocene (b). As monsoon strengthened hypersaline water was flushed out of the Bay of Bengal by the hyposaline water due to riverine fresh water flux during warmer periods analogous to modern time and reverse happened in the colder periods. Back and forth oscillation of hypersaline water in the way of Bengal shows paleomonsoonal salinity changes in the northeastern Indian Ocean.

Indian Ocean. The results are encouraging and suggest similar studies on closer samples to arrive an accurate timing of the first salinity drop due to the onset of monsoon in the late Miocene. We suggest that the changes in the atmospheric circulation from non-monsoonal to monsoonal one during late Miocene has resulted in torrential rains and riverine fresh water flux from the Indian subcontinent into the Bay of Bengal, that caused the salinity lowering at ~9.0 Ma in the northeastern Indian Ocean. Consistently lowering trend in spring-salinity from 9.0-5.0 Ma suggest the strengthening of the monsoon in the late Miocene (Fig. 5).

Conclusions

The % data of 25 broadly-defined taxonomic radiolarian groups has resulted in 4 Q-mode factors, i.e. SW, NE, transition and southern, explaining 89.15% variance. Factor-1 (hypersaline, cooler, highly productive), factor 2 (hyposaline, warmer, low productive), factor 3 (transition) and factor 4 (southern) define statistically sound water masses during southwest monsoon in the central tropical Indian Ocean. The curvilinear multiple regression analysis of factor loadings and the surface oceanographic variables of overlying water at the respective sampling sites, i.e SST, salinity, PPP, derived the long-range transfer functions. We surmise that our transfer functions based on broadly-defined supra-generic groups of radiolaria are potential for reliable estimates since late Miocene Late Miocene palaeoestimates of SST and salinity are conformable with the paleomonsoonal scenario described in Gupta and Srinivasan (1992) in addition to recognition of W 10 (6.3-6.5 Ma) and W-8 (9.0-9.2 Ma) warmer humid peaks. It

concurs that SW monsoon was stronger during warmer periods between 5.5-9.0 Ma. The warmer humid monsoonal periods corresponds to W-8-11 warmer events of Barron and Keller (1983) and are the oldest monsoonal salinity record in Neogene. It is surmised that the changes in atmospheric circulation from non-monsoonal one has been very well reflected in the surface salinity because of monsoonal fresh water flux into the Bay of Bengal in the northeastern Indian Ocean ~9.0 Ma. The Bay-of Bengal had a hypersaline (>34.5 ppt) water mass during late Miocene that has been flushed out by hyposaline water due to the monsoon in modern time. Consistently lowering trend in spring-salinity suggests monsoonal strengthening 9.0 to 5.0 Ma since during the late Miocene.

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